

INFORMATION DISCLOSURE CITATION Form PTO-1449 (Modified) <i>(Use several sheets if necessary)</i>		ATTY. DOCKET NO. UCSF-085 CIP	SERIAL NO. 09/616,223
		APPLICANT Nadel et al.	
		FILING DATE July 14, 2000	GROUP <i>1635</i> Unassigned

U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
<i>J3</i>	AN	5,525,625	06/11/96	Bridges et al.			
<i>J3</i>	AO	6,037,361	03/14/00	Roth et al.			

FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation
<i>J3</i>	AP	WO 97/19065	05/29/97	PCT			Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
<i>J3</i>	AQ	WO 97/45412	12/04/97	PCT			
	AR	WO 98/37881	09/03/98	PCT			
	AS	WO 99/01421	01/14/99	PCT			
	AT	WO 99/01426	01/14/99	PCT			
	AU	WO 99/32121	07/01/99	PCT			
	AV	WO 00/06560	02/10/00	PCT			
	AW	WO 00/06561	02/10/00	PCT			
	AX	WO 00/09485	02/24/00	PCT			
<i>J3</i>	AY	WO 00/17162	03/30/00	PCT			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>J3</i>	AA	Donato et al (1989), "Tumor Necrosis Factor Modulates Epidermal Growth Factor Receptor Phosphorylation and Kinase Activity in Human Tumor Cells", <i>The Journal of Biological Chemistry</i> , Vol. 264(34): 20474-20481.
<i>J3</i>	AB	Desseyn et al. (1997), "Human Mucin Gene MUC5B, the 10.7-kb Large Central Exon Encodes Various Alternate Subdomains Resulting in a Super-repeat", <i>The Journal of Biological Chemistry</i> , Vol. 272(6): 3168-3178.

EXAMINER	<i>J3</i>	DATE CONSIDERED
3 - 2 - 07		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

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		APPLICANT	Nadel et al.
		FILING DATE	GROUP July 14, 2000 Unassigned
<i>J3</i>	AC	Goldstein et al. (1995), "Biological Efficacy of a Chimeric Antibody to the Epidermal Growth Factor Receptor in a Human Tumor Xenograft Model", <i>Clinical Cancer Research</i> , Vol. 1: 1311-1318.	
	AD	Kawamoto et al. (1983), "Growth stimulation of A431 cells by epidermal growth factor: Identification of high-affinity receptors for epidermal growth factor by an anti-receptor monoclonal antibody", <i>Proc. Natl. Acad. Sci. USA</i> , Vol. 80: 1337-1341.	
	AE	Kondapaka et al. (1996), "Tyrosine kinase inhibitor as a novel signal transduction and antiproliferative agent: prostate cancer", <i>Molecular and Cellular Endocrinology</i> , Vol. 117: 53-58.	
	AF	Levitski (1994), "Signal-transduction therapy, a novel approach to disease management", <i>Eur. J. Biochem.</i> , Vol. 226(1):1-13.	
	AG	Lorimer, et al. (1995), "Immunotoxins that Target an Oncogenic Mutant Epidermal Growth Factor Receptor Expressed in Human Tumors", <i>Clinical Cancer Research</i> , Vol. 1: 859-864.	
	AH	Petit et al. (1997), "Neutralizing Antibodies against Epidermal Growth Factor and ErbB-2/neu Receptor Tyrosine Kinases Down-Regulate Vascular Endothelial Growth Factor Production by Tumor Cells <i>in Vitro</i> and <i>in Vivo</i> ", <i>American Journal of Pathology</i> , Vol. 151(6): 1523-1530.	
	AI	Powis (1994), "Signaling pathways as targets for anticancer drug development", <i>Pharmac. Ther.</i> , Vol. 62:57-95.	
	AJ	Schmidt et al. (1996), "Targeted inhibition of tumour cell growth by a bispecific single-chain toxin containing an antibody domain and TGF α ", <i>British Journal of Cancer</i> , Vol. 74: 853-862	
	AK	Takeyama et al. (1998), "Neutrophil-dependent goblet cell degranulation: role of membrane-bound elastase and adhesion molecules", <i>Am. J. Physiol.</i> , Vol. 275:294-302.	
	AL	Temann et al. (1997), "A Novel Role for Murine IL-4 <i>In Vivo</i> : Induction of MUC5AC Gene Expression and Mucin Hypersecretion", <i>Am J. Respir. Cell Biol.</i> , Vol. 16:471-478.	
	AM	Ullrich et al. (1984), "Human epidermal growth factor receptor cDNA sequence and aberrant expression of the amplified gene in A431 epidermoid carcinoma cells." <i>Nature</i> , Vol. 309(5967):418-25.	
	AZ	Brown (1999), "Clinical Studies with matrix metalloproteinase inhibitors." <i>APMIS</i> , Vol. 107:174-180.	
	BA	Grandis, jr., et al. (1997), "Inhibition of epidermal growth factor receptor gene expression and function decreases proliferation of head and neck squamous carcinoma but not normal mucosal epithelial cells." <i>Oncogene</i> , Vol. 15(4):409-416.	
	BB	Kumar et al. (1998), "Cooperative interaction of autocrine and paracrine mitogens for airway epithelial cells." <i>Cell Biology and Toxicology</i> , Vol. 14(4):293-299.	
	BC	Lee et al. (2000), "Leukotriene Receptor Antagonists and Synthesis Inhibitors Reverse Survival in Eosinophils of Asthmatic Individuals." <i>American Journal of Respiratory and Critical Care Medicine</i> , Vol. 161(6):1881-1886.	
	BD	Prenzel et al. (1999), "EGF receptor transactivation by G-protein-coupled receptors requires metalloproteinase cleavage of proHB-EGF." <i>Nature</i> , Vol. 402:884-888.	
	BE	Takeyama et al. (1999), "Epidermal growth factor system regulates mucin production in airways." <i>Proceedings of the National Academy of Sciences USA</i> , Vol. 96(6):3081-3086.	
	BF	Takeyama et al. (2000), "Oxidative stress causes mucin synthesis via transactivation of epidermal growth factor receptor: role of neutrophils." <i>Journal of Immunology</i> , Vol. 164(3):1546-1552.	
	BG	Wojtowicz-Praga et al. (1997), "Matrix metalloproteinase inhibitors." <i>Investigational New Drugs</i> , Vol. 15:61-75.	

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EXAMINER	DATE CONSIDERED
<i>J3</i>	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

**SUPPLEMENTAL
INFORMATION DISCLOSURE CITATION**
Form PTO-1449 (Modified)
(Use several sheets if necessary)

ATTY. DOCKET NO. UCSF-085CIP	SERIAL NO. 09/616,223
APPLICANT	Nadel, et al.
FILING DATE July 14, 2000	GROUP Unassigned

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation Yes No

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

JZ	AA	Grandis et al. (July 24, 1997), "Inhibition of Epidermal Growth Factor Receptor Gene Expression and Function Decreases Proliferation of Head and Neck Squamous Carcinoma but not Normal Mucosal Epithelial Cells." <i>Oncogene</i> , Vol. 15(4):406-416
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EXAMINER	DATE CONSIDERED
JZ	3-2-07

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

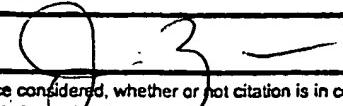
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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/616,223
				Filing Date	July 14, 2000
				First Named Inventor	Nadel, et al.
				Group Art Unit	1614
				Examiner Name	Zara, Jane
Sheet	1	of	1	Attorney Docket Number	UCSF-085CIP

U.S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	U.S. Patent Documents		Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
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FOREIGN PATENT DOCUMENTS					
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OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS					
Examiner Initials ⁶	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		GUZMAN, et al. "Epidermal growth factor regulates expression of the mucous phenotype of rat tracheal epithelial cells", <i>Biochem. Biophys. Res. Com.</i> , (1995) Vol. 217(2): 412-418.			

Examiner Signature		Date Considered	3-2-07
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⁶EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

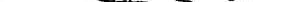
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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				<u>Application Number</u>	09/616,223
				<u>Filing Date</u>	July 14, 2000
				<u>First Named Inventor</u>	NADEL, JAY A.
				<u>Art Unit</u>	1635
				<u>Examiner Name</u>	ZARA, JANE
(use as many sheets as necessary)				Attorney Docket Number	UCSF-085CIP
Sheet	1	of	1		

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Examiner Signature		Date Considered	3-2-07
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**SUPPLEMENTAL
INFORMATION DISCLOSURE CITATION**
Form PTO-1449 (Modified)
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ATTY. DOCKET NO.
UCSF085CIPSERIAL NO.
09/616,223**APPLICANT**

Nadel et al.

FILING DATE

July 14, 2000

GROUP

1614 1635

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation
J3	AA	WO 00/10588 A3	03/02/2000	PCT			Yes No

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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J3 —	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Not for submission under 37 CFR 1.99)</i>	Application Number		10816159
	Filing Date		2004-03-31
	First Named Inventor		Jay A. NADEL
	Art Unit		1635
	Examiner Name		Jane J. Zara
	Attorney Docket Number		UCSF-085CON5

U.S.PATENTS						Remove
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
JB	1	6551989	B2	2003-04-22	NADEL et al.	

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JB	1	760766	AU	B2	2000-03-14	NADEL et al.		<input type="checkbox"/>

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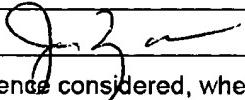
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Not for submission under 37 CFR 1.99)</i>	Application Number	10816159
	Filing Date	2004-03-31
	First Named Inventor	Jay A. NADEL
	Art Unit	1635
	Examiner Name	Jane J. Zara
	Attorney Docket Number	UCSF-085CON5

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⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.



AUG 09 2004

PTO/SB/08a (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

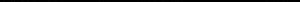
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Substitute for form 1449A/PTO				Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/816,159
				Filing Date	March 31, 2004
				First Named Inventor	NADEL, JAY A.
				Art Unit	1813 1635
				Examiner Name	To Be Assigned
				Attorney Docket Number	UCSF-085CONS
Sheet	1	of	2		

U.S. PATENT DOCUMENTS

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/816,159
				Filing Date	March 31, 2004
				First Named Inventor	NADEL, JAY A.
				Group Art Unit	1613
				Examiner Name	To Be Assigned
Sheet	2	of	2	Attorney Docket Number	UCSF-085CONS

OTHER ART—NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	3-2-07
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